Sheet



PIU/SE/UBA (UB-07)
Use through 10/31/2002. OMB 6651-0031
PIO: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademan

Under the Peperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

omplete if Known	2 1	Ξ
	²⁴ €7	
Wen-Chiang Huang		Ξ
		=
	• <u>•</u> ••	
		28.

U.S. PATENT DOCUMENTS							
Examiner nitials*	Cite No.1	U.S. Patent I Number	Cocument Kind Code ² (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Min		6.207.39	2	Weiss. et al.	03-27-2001		
11200		6,225,19	8	Alivisatos, et al.	05-01-2001		
Aut		5,505,	2 929	Alivisatos, et al.	04-09-1996		
Civit		5,537,00		Alivisatos, et al.	07-16-1996		
MA.		5,990,47	9	Weiss. et al.	11-23-1999		
		5,751,01	8	Alivisatos, et al.	05-12-1998		
Mit		6,251,30	13	Bawendi, et al.	06-26-2001		
THE I		6,207,22	9	Bawendi, et al.	03-27-2001		
AUH-		5,882,77	9	Lawandy	03-16-1999		
114		5,585,64	0	Huston	12-17-1996		
WH		6,090,20	10	Gary, et al.	07-18-2000		
TVit		6,106,609	9	Yang	08-22-2000		
Mitt		6,114,03	8	Castro, et al.	09-05-2000		
Ant		6,194,21	3	Barbera-Guillem	02-27-2001		
Most		5,525,37	7	Gallagher, et al.	06-11-1996		
11166		5,985,35	3	Lawton	11-16-1999		
MA		6,235,54	0	Siiman, et al.	05-22-2001		
现出		5,770,172	2,	Linehan, et al.	06-23-1998		
Polit		6,262,129	9	Murfy, et al.	07-17-2001		
l k		6,179,91	2	Goldstein, et al.	07-31-2001		

FOREIGN PATENT DOCUMENTS Dots of Dichibation of Pages, Columns, Lines,									
	Cite No.1	Offices	Foreign Patent Docu Number ⁴	ment Kind Code ^s (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevent Pessages or Relevent Figures Appear	16	
1			1						
					/				
/		1 1-	1		1	\	\		
					<u> </u>				
	$\overline{}$		\						
								Ι	
	$\overline{}$							T	
-									
								J	

		Λ		
Examiner	Marthan I		Date	11/05/10
Signature	Hance //	UREGIA	Considered	1407105

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through chation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number, 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) Inside this box



Please type a plus sign (+) Inside this box — Approver use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control sumber.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	

C	omplete If Known	Á		
Application Number		0	5	
Filing Date		50	2.8	
First Named Inventor	Wen-Chiang Huang	- o <		3 6
Group Art Unit		50		~
Examiner Name		75		7
Attorney Docket Number				

				U.S. PATENT DOCI	JMENTS	
Examiner Initials	itals No.1 Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
11/4		6.179.912		Barbera-Guillem	01-30-2001	
Litt		6,126,740		Schulz, et al	10-03-2000	
11.7		5,965,212		Dobson, et al.	10-12-1999	
11/4		5,474,591		Wells, et al.	12-12-1995	
儿母		5,059,357		Wolf, et al.	10-22-1991	
Matt		5,723,184		Yamamoto	03-03-1998	
				•		
L\		\				
	7					
			$\perp \setminus$			
			$\perp \lambda$			
		,	\			
			1	\		
			$oldsymbol{oldsymbol{\sqcup}}$			
		\	\perp			
		<u> </u>				
		<u> </u>	1			
			<u> </u>			

				FORE	IGN PATENT DOCUMENT	'S		
Examiner	Cite		Foreign Patent Doc		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	T
	No.1	Office ³	Number ⁴	Kind Code ⁵ (# known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	1.0
•								1
							\	
								1
	·	<u> </u>						
	<u> </u>	<u> </u>						T^{-}
	$\overline{}$							1
								1
		\						1
			<u> </u>					
								\Box

Examiner	MA uff		Date	15-1-5
Signature	Matulia	Males	Considered //	15/03
		· · · · · · · · · · · · · · · · · · ·		

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the Indication of the year of the reign of the Emparor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.